

Unofficial consolidated reading version

Please take note of the regulations on entry into force in the respectively relevant amendment.

Please note that **only** the German version of these regulations is legally binding. The English translation is provided for informational purposes only!

General Doctoral Regulations of the Catholic University of Eichstätt-Ingolstadt

dated June 22, 2010

amended by the statutes dated May 20, 2011

amended by the statutes dated March 15, 2019

amended by the statutes dated May 28, 2020

On the basis of Article 5, Section 3, sentence 1 of the Concordat between the Free State of Bavaria and the Holy See dated March 29, 1924 (BayRS 2220-1-WFK), the Catholic University of Eichstätt-Ingolstadt issues the following general provisions for the doctoral regulations at its faculties – general doctoral regulations (RaPromO):

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I. General information

Section 1 Right to award doctoral degrees, faculty doctoral regulations

(1) The Catholic University of Eichstätt-Ingolstadt awards the following doctoral degrees through the following faculties:

1. Faculty of Theology	doctor theologiae	(Dr. theol.)
2. Faculty of Philosophy and Education	doctor philosophiae	(Dr. phil.)
3. Faculty of Languages and Literatures	doctor philosophiae	(Dr. phil.)
4. Faculty of History and Social Sciences	doctor philosophiae	(Dr. phil.)
5. Faculty of Mathematics and Geography	doctor rerum naturalium	(Dr. rer. nat.)
6. Ingolstadt School of Management	doctor rerum politicarum	(Dr. rer. pol.)

(2) ¹The Catholic University of Eichstätt-Ingolstadt may also award the degree and title of '*Doktor ehrenhalber*' (doctor honoris causa, Dr. h. c.) according to Section 21 through the faculties named in recognition of particular contributions to their subjects. ²In this case, the doctoral degrees according to paragraph 1 are awarded with the addition 'honoris causa' (h. c.).

(3) ¹Other than in the case of honorary doctoral degrees, a doctoral degree of the same type may only be awarded once. ²Women may use the female forms of the academic titles and degrees named in these regulations.

(4) The faculties issue faculty-specific regulations with provisions on the admission requirements and the doctoral examination procedure (faculty doctoral regulations) to supplement these regulations according to Article 64 of the Bavarian Higher Education Act (*Bayerisches Hochschulgesetz – BayHSchG*) of 23 May 2006.

Section 2 Purpose and components of the doctoral degree

¹ The purpose of a doctoral degree is to offer proof of an ability to conduct advanced, in-depth academic work in one of the fields of specialization named in Section 1, paragraph 4 of the faculty doctoral regulations. ²Other than in the case of honorary doctoral degrees according to Section 21, the applicant must demonstrate this through an academic paper (written doctoral thesis) and an oral examination (thesis defense).

Section 3 Doctoral committee

(1) ¹The faculty council appoints a doctoral committee. ²This must consist of at least four members, one of whom is the dean. ³The chairperson of the doctoral committee is the dean of the faculty in question, or his or her deputy, or a member appointed by him or her. ⁴At least three members must be members of the faculty at which the doctoral degree is being completed.

(2) ¹The doctoral committee is responsible for conducting the doctoral examination procedure insofar as there are no alternative provisions in the general doctoral regulations or the faculty doctoral regulations. ²It is quorate when all members have been properly invited to the meeting and the majority of the members are present and eligible to vote. ³It makes decisions by majority vote; abstentions, secret ballots, and transfer of votes are not permitted. ⁴In the event of a tied vote, the chairperson's vote is

decisive.

(3) Exclusions from discussion and voting in the doctoral committee and exclusions from any role within examinations are regulated by Article 41 (2) BayHSchG.

Section 4 Reviewers and examiners

- (1) ¹The following persons can be reviewers of a doctoral thesis and examiners in the oral examination:
1. University professors according to Article 2, para. 3, sentence 1 Bavarian Law on Academic Personnel of Higher Education Institutions (BayHSchPG),
 2. Retired professors,
 3. Persons holding a doctorate who are entitled to take doctoral examinations in accordance with Section 4 Sentence 2 of the University Examination Regulations; in justified cases, acceptance as a habilitation candidate may be waived in the case of these persons

²The faculty doctoral regulations may issue more specific rules for this purpose. ³Professors from *Fachhochschulen* and *Kunsthochschulen* may be appointed as reviewers and examiners.

(2) ¹Members of other universities in Germany and abroad may also be appointed, in which case Paragraph 1 applies accordingly. ²However, at least one of the two reviewers must be a member of the faculty.

II. Admission as a doctoral candidate and admission to the doctoral examination procedure

Section 5 General requirements for admission as a doctoral candidate and the admission to the doctoral degree

(1) Without prejudice to additional requirements according to the faculty doctoral regulations, in order to be admitted to doctoral study the applicant

1. must have successfully completed a Diplom, Magister, or Master's degree at a university, a Diplom or Master's degree at a *Fachhochschule*, or a First State Examination (*Erste Staatsprüfung*); detailed provisions are laid down in the faculty doctoral regulations, which may include, in particular, limitations and additional conditions

2. must not be considered unworthy to hold a doctoral degree in the sense of Article 69 BayHSchG,

3. must not have already applied for admission to a doctoral examination procedure for the same type of doctoral degree at a different university or faculty that has not yet been completed, and must not have previously failed the same doctoral examination at the final attempt at another university.

(2) ¹Other final examinations taken at other universities within Germany or abroad according to paragraph 1, number 1 are recognized, unless they are not equivalent ²Decisions on equivalence are made by the doctoral committee. ³In cases of doubt, the Central Office for Foreign Education (*Zentralstelle für ausländisches Bildungswesen*) may be asked to make a statement.

(3) ¹Semesters of study at foreign universities and relevant credits obtained there will be recognized by the doctoral committee, unless they are not considered equivalent. ²Equivalence is determined on the basis of the equivalence agreements approved by the Standing Conference of the Ministers of Education and Cultural Affairs and the German Rectors' Conference.

(4) A Bachelor's degree obtained within the scope of the German constitutional law does not meet the admission requirements for doctoral study.

Section 6
Admission as a doctoral candidate, enrollment

(1) ¹Anyone who fulfills the admission requirements according to Section 5 and intends to write a doctoral thesis can apply to the faculty of the Catholic University of Eichstätt-Ingolstadt at which the subject is taught for admission as a doctoral candidate, specifying the planned topic of the doctoral thesis. ²The written application must be addressed to the chairperson of the doctoral committee. ³If the topic of the doctoral thesis is interdisciplinary in nature and the disciplines it involves are taught at different faculties, the application should only be submitted to one of the faculties involved. ⁴The Catholic University of Eichstätt-Ingolstadt only awards one doctoral degree through one of the faculties involved for such interdisciplinary doctoral theses. ⁵The faculties must determine which of them will award the doctoral degree before the applicant is admitted as a doctoral candidate.

(2) Without prejudice to additional requirements according to the faculty doctoral regulations, the following must be attached to the application:

1. A résumé with a detailed description of previous studies and information on degrees obtained and any degrees failed

2. Proof of university entrance qualification

3. Proof (final academic record, diploma supplement) of the degree according to Section 5, para. 1 (1)

4. A declaration on whether the applicant has already attempted to obtain a doctoral degree or has already obtained a doctoral degree, specifying the place, date, university, and thesis topic if applicable

5. An official certificate of conduct (*Führungszeugnis*) or proof of employment in public service

6. The completed Data sheet for the Bavarian Statistical Office (statistisches Landesamt) with the information according to Article 64, para. 3 of the BayHSchG

7. The supervision agreement with the supervisor under whose supervision the dissertation is to be written, in accordance with the sample form in the appendix to the general doctoral regulations; the faculty doctoral regulations may deviate from this and contain their own sample forms as well as more detailed regulations on the supervision agreement.

(3) ¹The doctoral committee decides whether to admit the applicant as a doctoral candidate. ²The applicant is informed of the decision in a letter, which in the case of rejection includes reasons for the decision and information on the right to appeal.

(4) ¹The doctoral candidate must immediately notify the doctoral committee in writing of a change of supervisor and submit a corresponding supervision agreement. ²The doctoral committee decides whether to accept the new supervisor; paragraph 3, sentence 2 applies accordingly.

(5) ¹After being accepted, the doctoral candidate must enroll at the Catholic University of Eichstätt-Ingolstadt at the next possible opportunity within the designated enrollment deadlines and submit proof of enrollment to the chairperson of the doctoral committee. ²An disenrollment according to Article 49, para. 3, sentence 2 of the BayHSchG does not have any effect on the further process of obtaining a doctorate.

Section 7
Application for admission to the doctoral examination procedure

(1) In order to be admitted to the doctoral examination procedure, the applicant must have been admitted as a doctoral candidate and must continue to fulfill the admission requirements specified in Section 5.

(2) The written application for admission to the doctoral examination procedure must be submitted to the chairperson of the doctoral committee.

(3) Without prejudice to additional requirements according to the faculty doctoral regulations, the following documents must be attached to the application:

1. A current résumé
 2. Three bound typewritten or printed copies of the written dissertation paper and one electronic version in an unalterable machine-readable file format
 3. A declaration stating
 - that the doctoral candidate has written the doctoral thesis by themselves and without any unauthorized assistance from others, did not use any sources or aids for the doctoral thesis other than those listed, and has marked any passages that have been quoted word-for-word or paraphrased from another work;
 - that he or she did not use any brokering or consulting services (doctoral consultant or other)
 4. A declaration stating whether the applicant has attempted to complete or has completed a doctoral degree before or has already presented this doctoral thesis in the same or a different version in another attempt or in another examination procedure. The place, date, university, and topic of the doctoral thesis must be stated for any previous attempts to complete a doctoral degree
 5. An official certificate of conduct (*Führungszeugnis*) or proof of employment in public service
 6. Suggestions of who should be appointed as the first reviewer and second reviewer and as the examiners who will form the board of examiners. The chairperson of the doctoral committee is not bound by these suggestions
- (4) If a doctoral candidate is unable to provide the documents required by Paragraph 3 in the form specified, the chairperson of the doctoral committee may permit them to provide the required proof in another form.

Section 8 Admission to the doctoral examination procedure

(1) ¹The chairperson of the doctoral committee decides whether to admit the applicant to the doctoral examination procedure. ²The application may only be rejected if

1. The documents submitted are incomplete or incorrect.
2. The doctoral candidate has already failed the same type of doctoral examination or an equivalent examination at another university or has already presented the doctoral thesis in the same form or in a different form in another doctoral examination procedure.
3. There are circumstances that mean that the applicant is considered unworthy of holding an academic degree.

³The applicant must be informed of the decision immediately in a letter, which in case of rejection must include reasons for the decision and information on the right to appeal.

(2) ¹After it has been determined that the applicant meets the admission requirements, the chairperson of the doctoral committee appoints the first and second reviewer for the doctoral thesis and the examiners for the oral examination under consideration of the applicant's suggestions. ²These persons form the board of examiners for the defense; it generally consists of four members, specifically:

1. One member of the doctoral committee
2. The first reviewer and the second reviewer
3. Another person who is authorized to conduct doctoral examinations who may be from a different subject to the first reviewer; detailed provisions are laid down in the faculty doctoral regulations.

(3) The application for admission may be withdrawn by submitting a written declaration, providing the

applicant has not received notification that the doctoral thesis has been rejected and has not started the oral examination.

III. Organization and administration of examinations

Section 9 Requirements for the doctoral thesis

- (1) The doctoral thesis must demonstrate that the applicant is able to carry out academic work independently and must constitute a considerable contribution to the advancement of scientific knowledge.
- (2) ¹As a general rule, the doctoral thesis must be written in German. ²Deviations and exceptions may be specified in the respective faculty doctoral regulations.
- (3) ¹The doctoral thesis must be written as an individual work. ²In deviation from this rule, the faculty doctoral regulations may permit a cumulative doctoral thesis; detailed provisions are laid down in the faculty doctoral regulations.

Section 10 Supervision and assessment of the doctoral thesis

- (1) ¹ The topic of the doctoral thesis must be agreed on with a professor at the relevant faculty. Co-supervision is possible.
- (2) ¹The doctoral committee will solicit two reviews on the doctoral thesis in accordance with Section 8 para. 2 sentence 1. As a rule, the supervisor will draw up the first review. In case of co-supervision, the co-supervisor generally draws up the second review.
- (3) ¹The chairperson of the doctoral committee first presents the doctoral thesis to the reviewers for them to review. ²The first reviewer and the second reviewer assess the doctoral thesis in separate written reviews and propose whether it should be accepted or rejected. ³They subsequently each suggest a grade for the doctoral thesis separately from one another using the following grading scale:

“summa cum laude (0.5) = excellent = an outstanding achievement

“magna cum laude“ (1) = very good = a particularly good achievement

“cum laude“ (2) = good = an above-average achievement

“rite“ (3) = satisfactory = an average achievement

“Insufficenter“ (4) = unsatisfactory = a performance suffering from significant deficiencies and overall below acceptable performance

⁴The Faculty of Theology may specify an alternative grading scale in its faculty doctoral regulations. ⁵In order to enable a more differentiated assessment of the performance, the faculty doctoral regulations may allow intermediate values to be given, which are created by decreasing or increasing the grades given above by 0.3; in such cases the grades 0.2 and 0.8 are not permitted. ⁶If the overall grade is to be formed from the average of the two individual grades, the average is calculated to two decimal places; all further decimal places are omitted without rounding.

²All further decimal places are omitted without rounding.

(4) ¹As a general rule, the reviews must be submitted within three months after the date on which the doctoral thesis was presented. ²The reviews must include instructions for any necessary revision and amendment before publication of the doctoral thesis in the sense of Section 17, which shall be communicated to the doctoral candidate in the event of acceptance of the dissertation by the doctoral committee.

³If both the first reviewer and second reviewer reject the doctoral thesis, the doctoral examination

procedure is terminated; in this case the doctoral thesis is not displayed for inspection according to Paragraph 6. ⁴The chairperson of the doctoral committee informs the applicant of this in a letter, which must include reasons and information on the right to appeal.

(5) ¹If the doctoral thesis is rejected by one of the reviewer or if the grades awarded differ by more than 1.7, a third review is obtained. ²Paragraph 2, Paragraph 3, and Paragraph 4 (1) apply accordingly.

(6) ¹After all reviews have been submitted, the doctoral thesis and the reviews are displayed in the dean's office for inspection for at least 14 days during the lecture period or 28 days outside of the lecture period. ²The chairperson of the doctoral committee notifies all members of the faculty who hold a doctoral degree in writing of the place where and dates on which the doctoral thesis will be displayed. ³These persons who are authorized to inspect the doctoral thesis have the right to submit a written statement on the doctoral thesis within the period during which it is displayed, in which they may express their opposition to the acceptance or rejection of the doctoral thesis, giving reasons. ⁴After the end of this period, the doctoral committee decides whether to accept or reject the doctoral thesis. ⁵The faculty doctoral regulations may limit the group of persons who are authorized to inspect the doctoral thesis further. ⁶If there are objections to the doctoral thesis, the doctoral committee may request another, external expert review in order to come to a decision.

(7) ¹If the first reviewer and second reviewer both propose that the doctoral thesis should be accepted, and both suggest the same grade or the grades that they suggest are no more than 1.7 apart, and no objections have been made by the end of the period specified in Paragraph 6 (3), the doctoral thesis is accepted and awarded the grade given unanimously in the two reviews or the grade calculated as the average of the different grades given in the two reviews. ²The chairperson of the doctoral committee informs the applicant of this in writing. ³In all other cases, the doctoral committee determines the grade according to Paragraph 3 and informs the applicant of the result in writing. ⁴In the case of rejection, Paragraph 4 (4) applies accordingly.

(8) ¹If the doctoral committee rejects the doctoral thesis, the applicant may submit a new application for admission along with a new doctoral thesis within two years of being informed that the first doctoral thesis has been rejected. ²Paragraphs 3 to 7 apply accordingly. ³If the doctoral candidate does not submit a new doctoral thesis within the period specified in sentence 1 for reasons within his or her control or the new doctoral thesis is also rejected, the doctoral examination procedure has been failed; Paragraph 4, sentence 4 applies accordingly.

(9) ¹A rejected doctoral thesis is kept in the faculty's records along with all reviews. ²All German universities that have the right to award doctoral degrees in the subjects in question are notified of the fact that the doctoral thesis has been rejected.

Section 11 Oral examination

(1) In the oral examination, the doctoral candidate is required to demonstrate his or her sound academic knowledge and his or her ability to discuss academic problems orally.

(2) ¹As a general rule, the oral examination takes the form of a public defense (*Disputation*) that is generally held in German. ²Exceptions to Clause 1 are to be determined in the faculty doctoral regulations.

(3) ¹The defense consists of a justification of the content of the doctoral thesis and discussion of related questions. ²It starts with a 30-minute presentation by the candidate on the topic of the doctoral thesis. ³The defense has a total duration of approximately 90 minutes.

(4) In deviation from Paragraph 2 (1), the faculty doctoral regulations may permit a *Rigorosum* instead; detailed provisions are laid down in the faculty doctoral regulations.

Section 12 Organization of the oral examination

(1) ¹Once the doctoral thesis has been accepted the chairperson of the doctoral committee sets a date for the oral examination with the agreement of the members of the board of examiners. ²This should take place no more than three months after the doctoral thesis has been accepted. ³The date is announced

to all members of the faculty. ⁴The chairperson of the doctoral committee sends the doctoral candidate a written invitation to the examination specifying the names of the examiners no later than 14 days before the start of the examination. ⁵The examiners are subject to change due to important reasons, such as illness.

(2) ¹The proceedings of the oral examination and the grade awarded must be documented in records. ²The records must be signed by all members of the board of examiners and by the clerk.

(3) ¹Each examiner grades the applicant's performance with a grade according to Section 10, para. 3, sentences 3 to 5. ²The chairperson of the doctoral committee determines the overall grade for the oral examination. ³⁴This is calculated as the average of the individual grades awarded by the examiners. ⁴The chairperson of the doctoral committee informs the applicant of the achieved grades and the overall grade of the oral examination immediately.

(4) The oral examination has been failed if a minimum grade of 'rite' has not been obtained.

Section 13 Overall grade

(1) ¹If the oral examination has been passed, the chairperson of the doctoral committee determines the overall grade for the doctoral degree. ²This is calculated as the average of the double-weighted grade for the doctoral thesis and the grade for the oral examination; only two decimal places are considered. ³The overall grade based on the average is as follows:

up to 0.6	=	summa cum laude
over 0.6 and up to 1.5	=	magna cum laude
over 1.5 and up to 2.5	=	cum laude
over 2.5 and up to 3.5	=	rite
over 3.5 and up to 4.0	=	insufficenter

⁴The Faculty of Theology may specify an alternative grading scale in its faculty doctoral regulations.

(2) ¹After the overall grade for the doctoral degree has been determined, the chairperson of the doctoral committee issues the applicant with an examination certificate within four weeks. ²It contains the overall grade, the grade for the doctoral thesis, and the grade for the oral examination; it does not give the applicant the right to use the title of *Doktor*.

³When the examination certificate has been handed over, the doctoral degree is considered completed.

(3) If the oral examination has been failed, the chairperson of the doctoral committee informs the doctoral candidate of this in a letter, which includes reasons for the decision and information on the right to appeal.

Section 14 Withdrawal, absence, inability to take examinations

(1) The oral examination is considered to have been failed if the doctoral candidate does not attend the examination without a valid reason or withdraws from the examination after it has started without a valid reason.

(2) ¹The reasons for absence or withdrawal must be reported to the chairperson of the doctoral committee immediately and suitable proof must be provided. ²This generally means no later than before the start of the examination in the case of absence and always means before the end of the oral examination in the case of withdrawal (with a note made in the examination records). ³In the case of illness the chairperson of the doctoral committee may require the applicant to present a doctor's certificate (*ärztliches Attest*).

(3) ¹The doctoral committee decides whether to accept the reasons provided. ²If the reasons are accepted, a new examination date is set.

Section 15
Problems in the procedure, cheating, breach of
regulations

(1) Problems in the doctoral examination procedure must be reported to the chairperson of the doctoral committee immediately.

(2) ¹The doctoral committee may declare that the examination has been failed if the doctoral candidate has cheated in some way or violated the regulations during the examination. ²Before such a decision is made, the applicant must be given the opportunity to make a statement about the relevant circumstances.

Section 16
Retaking the oral examination

¹If the oral examination has been failed or is considered to have been failed, the examination may be repeated once within a year. ²The application for admission to the retake examination is to be submitted to the chairperson of the doctoral committee no earlier than three months after the applicant has been informed that he or she failed the examination. ³Sections 11 to 15 apply accordingly to the retake examination procedure. ⁴If the applicant does not submit an application to retake the examination within the period specified in Clause 1 or if the applicant also fails the retake the oral examination, the doctoral examination procedure has been failed; Section 10, para. 4, sentence 4 applies accordingly.

IV. Conclusion of the doctoral examination procedure

Section 17
Publication of the doctoral thesis and delivery of deposit copies

(1) ¹ The doctoral thesis has to be adequately made accessible to the scientific community within two years of passing the oral examination. ²In justified cases, the chairperson of the doctoral committee may extend this deadline on request. ³If the applicant does not deliver the deposit copies on time, all rights obtained through successful completion of the doctoral examination procedure expire. ⁴The version intended for publication takes into account the corrections of the first and second reviewer according to Section 10, para. 4, sentence 2 and has to be approved by them. ⁵If the version of the doctoral thesis, that is intended for publication, is not approved, the doctoral committee has to determine how to proceed.

(2) ¹The doctoral thesis has to be made accessible to the scientific public by means of one of the following methods of publication and by copyright deposits:

- a) Distribution via the publishing industry through a commercial publisher with a minimum number of 100 copies as well as delivery of six copies free of charge to the University Library; the publisher's written publishing confirmation may also be issued by print on demand publishers; if the doctoral thesis is proven to have been made available in a citable fashion via open access within the delivery deadline in addition to the print version, only two free copies have to be delivered to the University Library; or
- b) The proof of publication in a journal or a series of journals as well as the free delivery of two citable and durably bound copies to the University Library; or
- c) The delivery of an electronic version of the doctoral thesis, the format and data storage device of which is to be coordinated with the University Library, the publication of which with relevant metadata and open access provision is effected via the KU repository, and the delivery of three citable and durably bound print copies of the electronic version to the university library.

²In cases according to sentence 1, lit.c, the doctoral candidate transfers the non-exclusive right to the Catholic University of Eichstätt-Ingolstadt to make the doctoral thesis available to the public in data

networks. ³The online publication is part of the doctoral examination procedure and cannot be reversed.

(3) ⁴In the case of a cumulative doctoral thesis, dissemination shall be effected by delivery of eight citable, permanently bound copies of the doctoral thesis to the University Library or by online publication in accordance with sentence 1 lit. c, whereby the doctoral thesis consists of the title page as well as a printout of all individual contributions with accompanying text in accordance with the respective faculty doctoral regulations. ⁵The individual contributions in the copyright deposit do not have to correspond to the publisher's print version, but should reflect the reviewed version. ⁶Bibliographic information must be provided for all individual contributions that have already been published. ⁷The doctoral candidates are obliged to clarify the legal requirements for the copyright deposit with the publishers. ⁸Should it prove verifiably impossible to reach an agreement with the publishers, the individual contributions already published in journals or journal series or those accepted for publication and currently in print are exempt from the obligation to publish; in this case, a reference to the publication with all bibliographical details as well as an abstract of the content of the individual contributions are sufficient. The legally necessary copyright deposits that go to external institutions are effected by the University Library.

(4) ¹Upon publication, the doctoral thesis must be marked as a doctoral thesis at the respective faculty of the Catholic University of Eichstätt-Ingolstadt and must contain the name of the reviewer and the second reviewer as well as the date of the oral examination. ²If the published title differs from the original title of the doctoral thesis, the original title must be included as a note. ³One copy of the published doctoral thesis has to be handed in to the faculty free of charge for use in the faculty examination files

Section 18 Certificate and use of title

(1) ¹The doctoral examination procedure is completed upon issue of the doctoral degree certificate. ²The doctoral degree certificate is signed by the President of the Catholic University of Eichstätt-Ingolstadt and the faculty's dean. ³Upon presentation of the certificate, the right to use the title of *Doktor* is granted. ⁴Upon request, the chairperson of the doctoral committee may already grant the right to use the title for a limited period of time as soon as the doctoral candidate submits a valid publishing contract.

⁵A temporary confirmation of the right to use the title is issued for this purpose. ⁶The confirmation may be extended if there are valid reasons for doing so. ⁷The applicant must submit suitable proof of the reasons.

(2) The certificate states the overall grade for the doctoral degree, the topic of the doctoral thesis, and the date of the oral examination.

Section 19 Inspection of records

The doctoral candidate has the right to inspect the examination records after the doctoral examination procedure has been terminated.

V. Failure of the doctoral examination procedure, problems in the doctoral examination procedure

Section 20 Failure of the doctoral examination procedure and revocation of the doctoral degree

(1) If the doctoral candidate has heated during the doctoral examination procedure and if this is not discovered until after the certificate according Section 13, para. 2 has been issued, the doctoral examination may retrospectively be judged to have been failed.

(2) ¹If the requirements for admission to the doctoral examination procedure were not met but the

doctoral candidate did not intend to gain admission wrongfully and this fact only becomes apparent after the procedure has been completed, this deficiency is considered to have been remedied by passing the doctoral examination. ²If the doctoral candidate has intentionally obtained the admission wrongfully, the doctoral committee shall decide on necessary measures in compliance with the general principles on the withdrawal of unlawful administrative acts.

(3) If it decides to judge the doctoral examination as failed, the certificate that has been issued is revoked.

(4) Otherwise revocation of the doctoral degree is governed by the applicable legal regulations.

(5) In the cases in Paragraphs 1, 2, and 4, the affected person must be given the opportunity to make an oral or written statement before a decision is made.

VI. Special provisions

Section 21

Honorary doctoral degree

(1) ¹Unless alternative regulations are in place, the faculty council decides on the conferral and revocation of honorary doctoral degrees with the agreement of the Senate; Sections 18 to 20 apply accordingly. ²Detailed provisions on awarding honorary doctoral degrees are laid down in the faculty doctoral regulations.

(2) ¹An honorary doctoral degree should be awarded during an official ceremony at which the members of the faculty are present. ²The honorary doctoral degree certificate should specify the particular contributions of the person to whom it is awarded.

Section 22

Doctoral examination procedures supervised in collaboration with a foreign university (co-tutelle)

(1) ¹Doctoral examination procedures may be supervised in collaboration with a department at a foreign university, providing that a cooperation agreement is concluded with the foreign university with the approval of the faculty council. ²The cooperation agreement must be presented to the faculty council by the doctoral committee.

(2) ¹The agreement according to paragraph 1 should contain details and regulations with regard to the joint doctoral examination procedure. ²In particular, it must specify that to obtain a doctoral degree, the presentation of a doctoral thesis and an oral examination are required and that the applicant must be enrolled at the Catholic University of Eichstätt-Ingolstadt. ³The agreement must be signed by the applicant, the supervisor, and the heads of the universities.

Section 23

Organization of doctoral examination procedures supervised in collaboration with a foreign university

(1) The provisions in the general doctoral regulations and the relevant faculty doctoral regulations apply to doctoral examination procedures supervised in collaboration with a foreign university unless there are alternative provisions specified below.

(2) ¹The applicant is accepted and supervised by one professor at each of the two faculties. ²The supervisors must generally also be the two reviewers for the doctoral thesis. ³If the partner university is in a non-German-speaking country, the two reviewers must write their reviews in English. ⁴On application the doctoral committee may give permission for the doctoral thesis itself to be written in the local language of the partner university. ⁵In this case, a detailed summary of the doctoral thesis must be

provided in German.

(3) ¹The doctoral committee is appointed with the agreement of both universities. ²It should have an equal number of members from each university. ³Unless the cooperation agreement specifies the appointment of a joint doctoral committee, the doctoral committee of the faculty is responsible for the proceedings.

(3) ¹If the oral examination is conducted at the Catholic University of Eichstätt-Ingolstadt, the examination takes the form of a *Disputation* according to Section 11. ²In case the oral examination is conducted at the foreign university, it should be ensured that the supervisor from the Catholic University of Eichstätt-Ingolstadt is one of the examiners in the examining committee. ³The examination languages for the oral examination are German and the local language of the partner university.

(4) ¹The doctoral degree certificate is generally issued jointly by the two faculties; if applicable it is issued as a bilingual document. ²It must bear the seal of both universities. ³The certificate specifies either one overall grade for the doctoral degree or gives an equivalent foreign grade along with the German grade, with an explanation provided in a note.

(5) ¹On receiving the doctoral degree certificate the applicant obtains the right to use the title of *Doktor* in the Federal Republic of Germany and the equivalent title in the country in which the foreign faculty is located. ²The bi-national supervision is noted on the certificate or in an accompanying document.

(6) The regulations of both universities apply to reproduction and publication of the doctoral thesis.

Section 24

Collaboration with several partner universities

The above provisions on doctoral examination procedures supervised in collaboration with a foreign university apply accordingly to collaborations with two or more partner universities.

VII. Final provisions

Section 25

Entry into force

¹These general doctoral regulations enter into force with effect from April 1, 2010. ²At the same time, the previous doctoral regulations of the Faculty of Theology of September 16, 2005 (Amtsblatt der Stiftung Katholische Universität Eichstätt, Jg. 30, Nr. 1/2006, S. 14); of the Faculty of Philosophy and Education 4. May 1998 (KWMBI II 1998, page 933) last amended by the statutes dated July 27, 2006 (Amtsblatt der Stiftung Katholische Universität Eichstätt, Jg 30, Nr. 2/2006, page 72); of the Faculty of Languages and Literatures of December 6, 1983 (KMBII 1984, page 89) last amended by the statutes dated June 27, 2002 (KWMBI II 2003, page 907); of the Faculty of History and Social Sciences on December, 3 1981, (KMBI II 1982, page 401), last amended by the statutes dated July 8 2005 (Amtsblatt der Stiftung Katholische Universität Eichstätt, Jg. 29, Nr. 2/2005, page 41); of the Faculty of Mathematics and Geography on June 11 2004 (KWMBI II 2004, page 2274) and the Ingolstadt School of Management of the Catholic University of Eichstätt-Ingolstadt dated December 20, 1996 (KWMBI II 1997, S. 356), amended by the statutes dated June 22, 2005 (Amtsblatt der Stiftung Katholische Universität Eichstätt, Jg. 29, Nr. 2/2005, page 40) shall cease to be in force.

Section 26

Transitional provisions

(1) ¹The doctoral regulations specified in Section 25, sentence 2 continue to apply to applicants who have begun their doctoral examination procedure according to the doctoral regulations that were in place before these general doctoral regulations entered into force. ²Applicants according to Clause 1 may choose whether the procedure should be carried out according to the doctoral regulations that applied

at the relevant faculty before these general doctoral regulations entered into force or whether it should be carried out according to these general doctoral regulations. ³After Entry into force of the the regulations specified in this document and before the new faculty doctoral regulations have entered into force, doctoral examination procedures are carried out according to these general doctoral regulations, providing there are no urgent reasons to do otherwise. ⁴The choice according to Clause 2 must be declared in a letter to the chairperson of the doctoral committee.

(2) ¹A doctoral examination procedure was begun in the sense of paragraph 1, sentence 1, if the applicant was admitted has been admitted as a doctoral candidate. ²Formal admission is equivalent to unconditional acceptance by the supervisor.

Annex to the general doctoral regulations of the Catholic University of Eichstätt-Ingolstadt
"Sample form for Doctoral Supervision Agreement" according to section 6, para. 2, Nr. 6



Doctoral Supervision Agreement

Supervisor:

Faculty, chair/professorship:

doctoral student:

working title of doctoral thesis:

This Supervision Agreement must be completed by the doctoral supervisor together with the doctoral candidate. If necessary, they will be supported by the Graduate Academy.

Please also note that this Doctoral Supervision Agreement will only come into effect after the doctoral candidate has been accepted by the doctoral committee of the relevant faculty. Any and all changes to this agreement require the signatories' consent.

The present Supervision Agreement shall be governed by the **general doctoral regulations** of the Catholic University of Eichstätt-Ingolstadt and the respectively relevant **faculty doctoral regulations** of the relevant faculty is amended from time to time.

The present Supervision Agreement is drafted in accordance with the relevant **recommendations** of the **German Research Foundation** and the EU **Principles for Innovative Doctoral Training**.

1. Object and purpose

The Supervision Agreement is an expression of mutual intention of both the supervisor and the doctoral candidate to engage in a trusting and productive cooperation with regard to the planned doctoral project. Within the meaning of a **bilateral declaration of intent**, it shall serve as a guideline; however, no legally effective claims can be derived from this.

2. Persons involved

doctoral student:	Last name, first name Contact details
Supervisor:	Last name, first name, academic title Contact details
Additional persons involved in the supervision process (such as additional co-supervisors): (If applicable at this stage)	Last name, first name, academic title Contact details
Type of doctoral thesis	Monographic - cumulative, if applicable language of doctoral thesis project, co-tutelle etc.

3. Short description of planned dissertation project

4. Timeline and work schedule

5. Tasks and obligations of doctoral candidate

6. Tasks and obligations of doctoral supervisor

7. Obligation to adhere to the principles of good scientific practice

By signing the present document, both parties commit themselves to adhere to the principles of good scientific practice in accordance with common national and international standards. At the same time, the signatories hereby confirm that they have acknowledged such recommendations and further undertake to observe the provisions of the KU "regulations on safeguarding standards of good scientific practice and dealing with allegations of scientific misconduct" as amended from time to time.

8. Other arrangements and agreements

9. Entry into force, duration of and changes to the Supervision Agreement

The supervisory relationship agreed herein shall enter into force upon acceptance of the doctoral candidate by the doctoral committee and shall continue to exist for the entire period of time in which the doctoral candidate is accepted by the faculty. This shall not depend on the existence of an employment relationship with the KU or on the duration of financial support for the doctoral project. This Agreement shall remain valid even if the doctoral supervisor leaves the KU, unless it is replaced by another equivalent Supervision Agreement.

As required, both parties shall be entitled to amend, supplement or change this Supervision Agreement subject to mutual agreement at any time provided the doctoral committee is informed of these changes. The present Supervision Agreement shall take effect upon signature of the supervisor and the doctoral candidate.

Signatures:

supervisor

doctoral candidate

Place:, Date:

Place:, Date:

Where applicable, co-supervisor

Place:, Date:
